

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Shinji Sasaki et al
Express Mail No.: EV337312764US
Filed: Herewith
For: INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS FOR
MANAGING DEFECT THEREOF

Art Unit: 2133 (Anticipated)
Examiner: P.M. Chung (Anticipated)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed, except for (a) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120, and (b) any U.S. patent or U.S. patent application publication if the present application was filed after June 30, 2003 or entered the national stage under 35 USC § 371 after June 30, 2003:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ____ A copy of each English language version of a search report (or EPO Search Report) indicating the degree of relevance found by the foreign office of each document being submitted from the search report, is being submitted herewith or has previously been submitted.
- (b) ____ Attached is a "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) X Within 3 months of the filing date or date of entry into the National Stage.
- (b) ____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.
- (c) ____ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).

(d) ____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ____ The required certification is given below, or

(2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988

(e) ____ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or

(2) ____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

(a) ____ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) ____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By 
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Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No. YAMAP0668USJ	Express Mail No. EV337312764US
	Applicant: Shinji Sasaki et al	
	Filing Date: Herewith	Group: 2133

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Name	Class	Sub-class	Filing Date if Appropriate
	4,631,723	12/23/86	Rathbun et al	714	710	
	5,005,165	4/2/91	Yamanaka et al	369	58	
	5,136,711	8/4/92	Hugard et al	713	2	
	5,216,655	6/1/93	Hearn et al	369	47.14	
	5,271,018	12/14/93	Chan	714	710	
	5,418,767	5/23/95	Gaudet et al	369	58	
	5,706,472	1/6/98	Ruff et al	711	173	
	5,715,221	2/3/98	Ito et al	369	47.14	
	5,805,564	9/8/98	Kobayashi et al	369	275.3	
	5,822,142	10/13/98	Hicken	360	53	
	6,043,945	3/28/00	Tsuboi et al	360	53	
	6,098,185	8/1/00	Wilson	714	710	
	6,101,619	8/8/00	Shin	714	710	
	6,493,302	12/10/02	Takahashi	369	53.15	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Country	Class	Sub- class	Translation	
						Yes	No
	9814938	4/9/98	WO				
	0866456	9/23/98	EP				
	9251721	9/22/97	JP				
	5217298	8/27/93	JP			Partial	
	1158670	12/15/87	JP (Corresponding USP 5,005,165)				
	8272541	10/18/96	JP			Partial	
	8329612	12/13/96	JP				
	3276468	12/6/91	JP			Partial	
	0798710	10/1/97	EP				
	9630907	10/3/96	WO			Partial	
	4157672	5/29/92	JP			Partial	

OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	ECMA Standardizing Information and Communication Systems; Standard ECMA-272; Feb. 1998; 120 mm DVD Rewritable Disk (DVD-RAM), pps. 42-55
	European Search Report dated 2/3/00 re: application No. 9911579.7-2210-
	International Search Report dated 1/26/00 Re: PCT/JP99/04296
	Japanese Office Action JP11-352467 dated 1/24/00 (W/English Translation)
	Japanese Office Action JP11-352468, dated 1/24/00, (W/English Translation)-
	Japanese Office Action JP11-240562 (w/English Translation)
	Japanese Office Action JP11-240563 (w/English Translation)

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